

# 1

# Introduction

## 1.1 Manufacturing Technology

Manufacturing, or production, is the process by which parts, goods, or merchandise, commonly called products, are produced. In the manufacturing process, these products are obtained from other parts (semi-finished products) or created from raw materials. Manufacturing can be manual, mechanical, or mixed (hybrid) processes. The various production technologies are dealt with in the subject area of manufacturing technology [1].

According to German DIN Standard 8580, manufacturing processes are divided into six main groups:

- **Prototyping:** A solid body is created from shapeless materials (liquid, powdery, plastic); the cohesion is created e. g. by casting, sintering, firing, or bonding.
- **Forming:** Changing the shape of a body by pictorial (plastic) alteration without changing the amount of material (e. g. bending, drawing, pressing, or rolling).
- **Joining:** Previously separated work pieces are transferred into a permanent joint (e. g. bonding, welding, or soldering).
- **Cutting:** Change in the shape of a solid body; the cohesion is locally dissolved (typically ablative processes such as grinding or milling).
- **Coating:** Surface finishing of all kinds (e. g. painting, chrome plating, etc.).
- **Changing material properties:** Conversion by post-treatment (e. g. hardening).

Additive manufacturing processes, in which materials are joined layer by layer to form new components, can be clearly assigned to the primary forming processes, and have accordingly been integrated into section 1.10 of the latest draft of DIN 8580 (2020).

## 1.2 Additive Manufacturing

Additive manufacturing technologies have long been known in industry under the term “rapid prototyping”. Rapid prototyping is widely used in model making and product development in many branches of industry. The predominant goal is the fast and uncomplicated production of individual parts, small component series, or functional and design samples to shorten development cycles.

This approach – long known to industry experts – came into the public eye a few years ago, following media hype over “3D printing”. This often gave the impression that 3D printing could be regarded as a universal and disruptive manufacturing process that would completely replace other manufacturing technologies. According to current estimates, however, it is more likely that additive manufacturing is simply joining the large number of diverse manufacturing technologies used in industry, and will only be used if clear cost advantages can be achieved from its application [2].

In the context of this book, the term additive manufacturing is generally used to indicate that the focus is on the production of industrial components. This does not represent a devaluation of rapid prototyping or 3D printing, which enjoy a high degree of importance in their respective fields of application. The terms additive manufacturing and 3D printing are often used synonymously, and a clear demarcation is not always possible.

Other partly historical terms that are (or were) used synonymously for additive manufacturing are: generative manufacturing, eManufacturing, additive manufacturing, additive layer manufacturing, direct digital manufacturing (DDM), solid-state free-form manufacturing, and some others. In addition, everything is often still subsumed under the term 3D printing, especially in the non-scientific literature. In the meantime, however, the term additive manufacturing has become generally accepted and is defined by the current standardization.



**DIN EN ISO/ASTM 52.900** *Additive Manufacturing – Fundamentals – Terminology* is the basic terminology standard for additive manufacturing (AM). The standard defines the most important terms in this context. For example, additive manufacturing itself is defined as:

### **A definition of additive manufacturing**

“Process of joining materials to produce components from 3D model data usually layer by layer, as opposed to subtractive and forming manufacturing methods”

Processes in additive manufacturing therefore take place layer by layer, which is why they are sometimes referred to as layer construction processes. This definition defines the layer-by-layer construction of objects through additive manufacturing. The

geometry of the component is available as an electronic data set in the computer (3D model data), which directly controls the creation of the component (direct digital manufacturing, DDM). This clearly distinguishes it from subtractive, machining, or separating processes.

In additive manufacturing, the final properties of the components are generally only created during production. The process parameters used control the final properties of the components, in close interaction with the properties of the starting materials. This is one of the main differences between additive manufacturing and traditional, ablative separation processes, in which the component properties are already largely predetermined by the original material properties of the semi-finished product before shaping.

Because additive manufacturing creates components layer by layer, in two dimensions so to speak, the complexity of the parts in the third dimension plays a subordinate role during the creation process. Components of almost any complexity can thus be created during the manufacturing process without significant additional effort. Areas of application that require highly complex components are therefore particularly predestined for additive manufacturing, and can be regarded as one of the technology drivers.

### 1.2.1 Application Areas and Technology Drivers

AM processes have one outstanding feature in common: they do not require the use of a mold that determines the shape of the desired component. Because of this layer-by-layer shaping without tools, the approach has many advantages that make it particularly suitable for the following areas of application, and which can be regarded as the main drivers of AM technology:

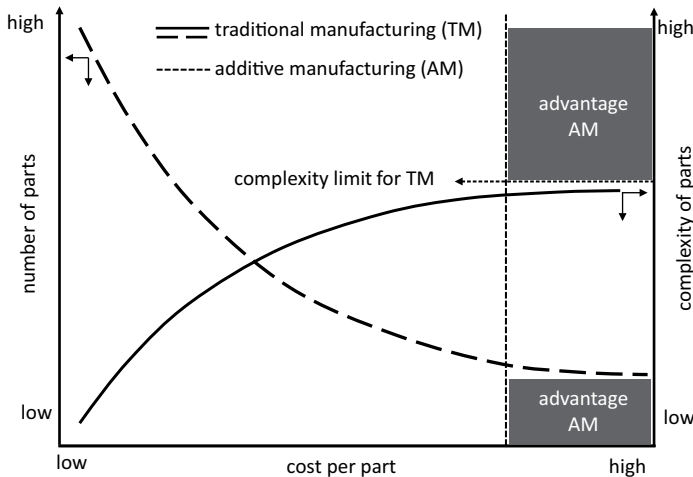
- Economic production of small numbers of components (even with a batch size of one, “on demand”)
- Geometric freedom in design (free-form surfaces, undercuts, cavities)
- Components with function integration (hinges, joints, flexible units)
- Lightweight construction (lattice structures with high or varying stiffness)
- Product personalization (medical technology, sports)
- Short-term product adjustments (shortening of product cycles)
- Environmental aspects (reduced material consumption, circular economy)
- Bionic structures.

Typical industries in which the advantages of additive manufacturing can be very well applied and targeted are the consumer goods, automotive, aerospace technology,

defense, medical technology, electronics, furniture, jewelry, sports equipment, and tool and mold making.

Some current established business models (personalized drilling jigs for operations, individual prosthetics, complex furniture gliders, novel filter systems, robotic grippers) demonstrate the economic use of AM technologies that have already emerged.

Figure 1.1 shows schematically in what way additive manufacturing is superior to traditional production methods from an economic point of view.



**Figure 1.1** Unit costs in conflict with part count and part complexity for additive and traditional manufacturing; AM is typically not economically viable for mass production of simple parts

Established or traditional manufacturing technologies (TMs) are often optimized to produce large numbers of components at the lowest possible unit costs. Here, the unit costs decrease significantly with the number of parts produced (Figure 1.1, dashed line). At the same time, with TE, the unit costs increase significantly with the component complexity. In general, a complexity limit is even reached which conventional processes cannot overcome, or often only by generating exorbitantly high costs (Figure 1.1, solid line).

This is where the advantages of additive manufacturing processes (dark grey areas in Figure 1.1) come into play. At almost unchanged costs either small component series or components with considerable complexity can be manufactured. However, this also requires the design of the components to be adapted for the additive processes. The part design is changing from one that is required for a *production process* into one that is required for *part functionality*! This paradigm shift does not mean, however, that production-oriented rules can be disregarded. Experience shows clearly

that it is also important to design for production in additive manufacturing. Compared to tool-related manufacturing processes, however, different rules apply, which allow considerably higher degrees of freedom in product design.

However, the adaptations in the part design for additive manufacturing intervene in the complete process chain of manufacturing. In product development projects, it is therefore imperative that the planned manufacturing process be integrated at the beginning of the project, in order to take advantage of the benefits offered by additive processes for part manufacturing [3].

The target-oriented and demand-driven application of additive manufacturing requires a good overview and comprehensive knowledge of the different AM processes, and their respective strengths and weaknesses. In addition, the AM processes are mostly tied to specific material classes. In addition to the shape and type of the starting materials, AM processes differ quite significantly in terms of the underlying process sequences, according to which the classification by main AM groups is made today.

## 1.2.2 Main Groups of Additive Manufacturing

With the progressing standardization in the field of additive manufacturing (see Section 3.2.5), seven main groups are currently defined as essential process categories of additive manufacturing.

The main process categories (I–VII) often contain further subgroups, which may differ to a greater or lesser extent in process details. In the case of powder bed fusion (PBF) processes in particular, it is also useful to distinguish between plastic processes (PBF-P) and metal processes (PBF-M).

### I. Vat photopolymerization (VPP)

- SLA: Stereolithography
- DLP: Digital light processing
- CDLP: Continuous digital light processing
- CLIP: Continuous liquid interface production

### II. Material extrusion (MEX)

- FFF: Fused filament fabrication
- FGF: Fused granulate fabrication
- APF: Arburg plastics freeforming

### III. Material jetting technology (MJT)

- MJ: Material jetting
- DoD: Drop on demand

### IV. Binder jetting technology (BJT)

## V. Powder bed fusion (PBF)

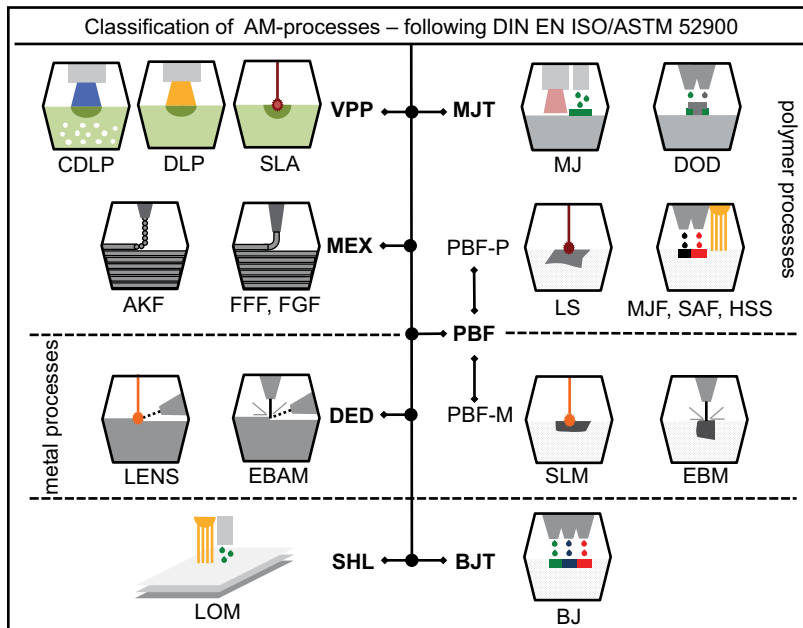
- Polymers
  - PBF-LB/P: Laser-based melting (laser sintering (LS))
  - PBF-IR/P: Infrared-radiation-based melting (MJF, SAF, HSS)
- Metals
  - PBF-LB/M: Laser-beam-based melting (selective laser melting (SLM))
  - PBF-EB/M: Electron-beam-based melting (EBM)

## VI. Directed energy deposition (DED)

- LENS: Laser-engineered net shaping
- EBAM: Electron beam additive manufacturing

## VII. Sheet lamination (SHL)

Figure 1.2 shows a graphical overview of the processes in the seven main classes. The processes that work mainly with plastics as the starting material are located in the upper part of the diagram. The metal processes are grouped underneath. Sheet lamination (SHL) and binder jetting (BJT) at the end cannot be clearly assigned to metal or plastic processes. In BJT in particular, a wide variety of powder substrates can be used in principle. Even chocolate powder has already been processed into “components” using BJT.



**Figure 1.2** Overview of the main process classes of additive manufacturing based on DIN EN ISO/ASTM 52.900; the AM processes mainly used in the field of plastics are summarized in the upper half

In general, the classification into main categories is essentially made with regard to the differences in the joining of the respective substrates, and less consideration is given to material classes. This results from the fact that different materials such as polymers, metals, ceramics, and composites can frequently be processed with the same process approach.

Depending on whether an AM process leads directly to the finished component or via a “green part” as an intermediate step, a further distinction can be made between direct and indirect AM processes or single-stage or multi-stage processes. Plastics and metals are still to be considered the main material classes employed for additive manufacturing when it comes to the **direct AM processes** used to produce final components for industrial use.

In **indirect AM processes**, which mainly comprise binder jetting (BJ) on a wide variety of substrates, processing pre-polymers in photopolymerization processes (VPP, MJT), and compound processing in MEX processes, final components are obtained via the detour of a “green part”. Ceramics, inorganic materials, fiber composites, and other “composites” are also accessible. Here, polymers or corresponding precursors are often also used as green part binders.

In general, however, plastics in a wide variety of starting forms are still the dominant class of materials in the additive manufacturing of industrial components and in 3D printing [4]. A comprehensive overview of all AM processes with plastics and the companies currently involved in the individual process fields can be found on the AMPOWER homepage [5].

## 1.3 Additive Manufacturing with Plastics

What started about 40 years ago with the first work of Chuck Hull on stereolithography has today developed into a broadly diversified technology spectrum with a wide variety of plastic-based additive processes. The main AM processes in which plastics play a central role for the direct manufacture of AM components (see Figure 2.1) are:

- Vat photopolymerization (VPP)
- Material extrusion (MEX)
- Material jetting technology (MJT)
- Powder bed fusion with polymers (PBF-P).

### 1.3.1 Vat Photopolymerization (VPP)

The processes summarized under vat photopolymerization (VPP), stereolithography (SLA), digital light processing (DLP), and continuous digital light processing (CDLP) are based on the use of high-energy radiation (UV or visible light) for the production

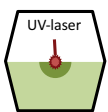
of AM components. This can be done either selectively by a UV laser (SLA) or via planar exposure via projectors (DLP/CDLP) [6].

Where the high-energy radiation hits the substrate (a liquid/viscous photopolymer resin), polymerization is started and spatially resolved curing of the material is induced. The resins usually consist of complex mixtures of active epoxy- or methacrylate-based components and so-called hardeners (alcohols and/or amines), and so elastomers or duromers are formed during the printing process. By varying the chemical composition of the individual components, the properties of the resulting components can be varied over a wide range and adapted to the target applications. The actual polymerization is started by an initiator, which breaks down into radicals during UV irradiation and induces a radical chain-reaction.

With VPP, a component is created layer by layer by continuously feeding in new resin layers. The build platform on which the component is created is either gradually lowered into the resin bath or pulled out of it. Because VPP processes use a liquid to create objects, there is no structural support from the material during the build phase. Support structures must therefore be used if the components have overhangs, cavities, or other complex structures.

VPP processes are usually characterized by high component precision and very good component surfaces. The disadvantage is often a pronounced brittleness of the components (duromer) and low long-term stability due to incompletely converted photo-initiators. Post-curing and degradation effects induced by the UV component in sunlight can lead to disintegration of the components. The following list and Figure 1.3 summarize the main features of the individual VPP processes.

### Vat Photopolymerisation (VPP)



#### Stereolithography (SLA)

UV sensitive prepolymers are cured with pinpoint accuracy using a UV laser

Advantages: high precision; very good component surfaces; large components possible

Disadvantages: support structures; slow process; limited long-term stability UV-sensitive



#### Digital Light Processing (DLP)

UV-sensitive prepolymers are cured over the surface with the aid of a projector

Advantages: high precision; good component surfaces; increased process speed

Disadvantages: support structures; limited part dimensions and long-term stability



#### Continuous Digital Light Processing (CDLP / CLIP)

Illumination from below; inhibition of UV curing by addition of oxygen

advantages: high precision; good part surfaces; very high process speed

Disadvantages: support structures; limited part dimensions

**Figure 1.3** Different photopolymerization processes (VPP) for the production of plastic components



### **Stereolithography (SLA)**

In the SLA process, the material is cured in a spatially resolved manner with the aid of a UV-laser. Exposure often takes place from above, with the laser beam being precisely controlled via mirror systems (scanners). This type of exposure makes large component dimensions possible, and components can even be produced in the meter size range with the corresponding SLA printers (e. g. models of dashboard carriers in the automotive sector).

A newer process, which can be assigned to SLA, is “hot lithography” (Cubicure GmbH). Here, the process temperature is raised significantly above room temperature, which enables the processing of novel SLA resins with higher viscosity at room temperature. Improved properties in terms of temperature stability and mechanical properties can be expected from this process.

### **Digital light processing (DLP)**

In DLP, area exposure takes place with projectors. The exposure can be from above as well as from below through a special light, and (if necessary) a base of the resin tank that is gas-permeable. The component dimensions are dictated by the resolution of the projector and are much more limited than with SLA. Therefore, DLP processes are often used for smaller or filigree components, e. g. for casting negatives in the jewelry sector, or lattice-like structures with small local cross-sectional areas.

### **Continuous digital light processing (CDLP)**

CDLP is a variant of the DLP process. This process became very well known as continuous liquid interface production (CLIP) by the company Carbon 3D. Here, the fact that oxygen ( $O_2$ ) acts as a radical scavenger and can specifically inhibit photopolymerization (quenching) is exploited. If, as in the CLIP process, it is possible to control the local inhibition very precisely, a significant increase in the process speed is possible. The components can be generated freely in the photopolymer resin, if the exposure plane and “quenching zone” are very precisely matched. In addition, the polymer resins developed for these processes often have components that can be thermally cured in post-processing steps. This makes the components significantly more stable and suitable for technical applications in long-term use. However, the component dimensions are just as limited as in the DLP process due to the use of projectors and the negative pressure that develops with larger cross-sectional areas.

## **1.3.2 Material Extrusion (MEX)**

In recent years, additive manufacturing by means of material extrusion (MEX) has developed a wide range of applications in terms of both materials and processes [7]. This ranges from simple DIY printers for do-it-yourselfers at home to special pro-

cesses that are suitable for extruding fiber-reinforced concrete and thus for “printing” entire buildings [8, 9].

Very large components, such as body elements for vehicles (e. g. BigRap), can be produced with this process, as can multi-component and continuous fiber-reinforced work pieces (e. g. Markforged). In addition, MEX can also be used to process composite filaments filled with metal and ceramic particles, which can be converted into pure ceramic or metal parts with appropriate post-treatment steps. Further applications of MEX technology also go in the direction of “food printing” [10].

When non-reinforced plastic filaments are used, it is often referred to as fused deposition modeling (with the abbreviation FDM<sup>®</sup> being registered and trademarked by the Stratasys Company for these processes), or more generally fused filament fabrication (FFF).

### **Fused filament fabrication (FFF)**

Plastics are usually wound as filament onto a spool for use in the FFF process and fed through a heated nozzle as they are unwound in the MEX printer. Proper heating above the glass transition point of the polymer causes the filaments to adhere to each other as the strand is deposited, forming a three-dimensional component when properly processed. A subtype of the FFF process is the continuous filament fabrication (CFF) process. Here, continuous fibers, e. g. carbon fibers, are fed in parallel to the FFF printing process via a second print head in order to generate stiff and high-strength components.

Plastics frequently used in the FFF process, such as ABS or PLA, are amorphous and exhibit sufficient toughness and dimensional stability even far above the glass point, so that the production of three-dimensional components is successful. In addition, amorphous materials show hardly any shrinkage during cooling, which increases the dimensional stability of FFF components and avoids process errors such as warpage and detachment from the build platform during construction.

One problem in the FFF process can be poor layer adhesion if the temperature drops too far during the building process and sufficient adhesion between the filament layers no longer exists. In order to improve layer adhesion in FFF components, closed heated build spaces are used, and there are now also approaches to improve adhesion at the interface between the individual filaments through thermally or chemically induced reactions. A distinction is then made between MEX-TRB (thermal reaction bonding, TRB) and MEX-CRB (chemical reaction bonding, CRB).

Many approaches are also being pursued with FFF to be able to process semi-crystalline polymers, such as polyamides (PA), polyester (PET), or also high-temperature materials, such as polyether ether ketone (PEEK), polyetherimide (PEI) or polysulfone (PSU). Applications in medical technology or aircraft construction, for example, promise great potential. Filled or fiber-reinforced filaments can be used to keep volume change during the crystallization process low and to avoid component distortion.

### Fused granulate fabrication (FGF)

Due to the low material throughput in the FFF process, extruder heads have been developed for “printing” large components, which can directly process micro-granules or standard granules of plastics. An additional process step, the production of plastic filaments on spools, is thus eliminated. Filled or fiber-reinforced materials can also be used to advantage in FGF, which is essential for good dimensional stability. Nozzles in the range of 2 to 10 mm diameter are used. However, this results in rough, wavy surfaces that must be converted into smooth surfaces by appropriate post-treatment steps (e. g., by over-milling). Applications for the fast-growing FGF-MEX variant can be found in mold and sports equipment construction as well as in the furniture industry and for large vehicle parts.

### Arburg plastics freeforming (APF)

One MEX variation is the freeform plastic molding (APF) developed by ARBURG. Instead of filaments, small plastic droplets are continuously ejected (extruded) via piezo-controlled nozzles. The material nozzles are positioned in a fixed location, and the building platform on which the components are created can be moved and positioned in three spatial directions. By using several nozzles, different materials can be processed simultaneously, so that combinations of different materials (e. g. hard/soft) can be linked in one component.

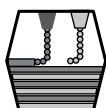
The outstanding advantage of the APF approach is that, in principle, standard polymer granulates can be used as the starting materials, which are already available for other plastics processing methods (injection molding, extrusion). The time-consuming and costly intermediate step of filament production is eliminated. In reality, however, the use of standard pellets is often difficult, since these materials contain all kinds of processing aids that can interfere with the APF process.

Likewise, filled materials are almost impossible to process due to the sensitivity of the high-precision extrusion dies. A disadvantage here, as with the other MEX processes, is the relatively slow process speed when building up the parts and the mandatory use of support materials for complex structures. Figure 1.4 summarizes the essential elements of MEX technology for processing plastics.

### Material Extrusion (MEX)



Fused Filament Fabrication (FFF), Fused Granulate Fabrication (FGF)  
Plastics are heated in a nozzle and deposited on top of each other strand by strand  
Advantages: basically simple (DIY) and wide variety of materials  
Disadvantages: wavy part surfaces, support structures; single parts; slow process



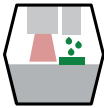
Arburg Plastics Freeformer (APF)  
Polymer granules are extruded droplet by droplet via a piezo-controlled nozzle  
Advantages: Polymer pellets from “bar”; multi-material combinations (hard/soft).  
Disadvantages: support structures required; individual parts; build-up rate very slow

**Figure 1.4** Material extrusion process (MEX) for the production of plastic components

### 1.3.3 Material Jetting Technology (MJT)

Direct manufacturing of plastic components is achieved with material jetting (MJ) or drop-on-demand (DOD) technologies (see Figure 1.5). In principle, these are printing processes analogous to traditional 2D inkjet printing. Viscous materials are applied to a build platform from digitally controlled print heads.

#### Material Jetting Technology (MJT)

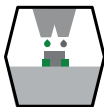


##### Material Jetting (MJ)

Pre-polymer droplets are deposited with a print head and cured with UV lamp

Advantages: high precision; very good part surfaces; multi-material processing

Disadvantages: support structures; limited long-term stability



##### Drop on Demand (DOD)

Polymers (waxes) are deposited with a print head and thermally cured

Advantages: high precision; good part surfaces; predominant use as cast cores

Disadvantages: support structures; thermally unstable components

**Figure 1.5** Material jetting technologies for the production of plastic components

If the printing materials are UV-curing pre-polymers (see Section 1.3.1, VPP process), curing of the droplets takes place immediately after deposition by UV lamps positioned in the print head (photopolymerization). However, curing after deposition of the droplets can also take place purely thermally by cooling, if low-melting substances such as waxes are involved.

The basic prerequisite for MJT is always a certain viscosity of the pre-polymers, which must be adapted to the high-precision print heads. If this is given, the process is basically well-suited to processing several materials with different property profiles at the same time. The production of components from “gradient material”, i. e. with locally adapted material properties, by adjusting the mixing ratio of the starting materials directly in the printing process, is thus possible. In general, MJ is very versatile and generates components with very low surface roughness and high dimensional accuracy at high speed by precisely controlling material deposition.

Disadvantages of MJ are, as already mentioned in Section 1.3.1 (VPP process) for UV-curing systems:

- A certain brittleness of the components (duromers)
- Low long-term stability (post-curing and degradation effect induced by the UV component in the sunlight).

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